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Active surveillance of antibiotic resistance patterns in urinary tract infections in primary care in Switzerland

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Abstract: PURPOSE: Urinary tract infections (UTI) are one of the most common reasons for prescribing antibiotics in primary care. In Switzerland, the Swiss Center for Antibiotic Resistances (ANRESIS) provides resistance data by passive surveillance, which overestimates the true resistance rates. The aim of this study was to provide actual data of the antimicrobial resistance patterns in patients with UTI in Swiss primary care. METHODS: From June 2017 to August 2018, we conducted a cross-sectional study in 163 practices in Switzerland. We determined the resistance patterns of uropathogens in patients with a diagnosis of a lower UTI and analyzed risk factors for resistance. Patients with age < 18 years, pregnancy or a pyelonephritis were excluded. RESULTS: 1352 patients (mean age 53.8, 94.9% female) were included in the study. 1210 cases (89.5%) were classified as uncomplicated UTI. *Escherichia coli* (*E. coli*) was the most frequent pathogen (74.6%). Susceptibility proportions of *E. coli* to ciprofloxacin (88.9%) and trimethoprim-sulfamethoxazol (TMP/SMX) (85.7%) were significantly higher than the proportions reported by ANRESIS. We found high susceptibility to the recommended first-line antibiotics nitrofurantoin (99.5%) and fosfomycin (99.4%). Increasing age, antimicrobial exposure and a recent travel history were independently associated with resistance. DISCUSSION: In this study, we report actual data on the resistance patterns of uropathogens in primary care in Switzerland. *Escherichia coli* showed low resistance rates to the recommended first-line antibiotics. Resistance to TMP/SMX was significantly lower than reported by ANRESIS, making TMP/SMX a suitable and cheap alternative for the empirical treatment.

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Title: Active surveillance of antibiotic resistance patterns in urinary tract infections in primary care in Switzerland.

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34 **Abstract:**

35 **Purpose:** Urinary tract infections (UTI) are one of the most common reasons for prescribing antibiotics in primary
36 care. In Switzerland, the Swiss Center for Antibiotic Resistances (ANRESIS) provides resistance data by passive
37 surveillance, which overestimates the true resistance rates. The aim of this study was to provide actual data of the
38 antimicrobial resistance patterns in patients with UTI in Swiss primary care.

39 **Methods:** From June 2017 to August 2018, we conducted a cross-sectional study in 163 practices in Switzerland.
40 We determined the resistance patterns of uropathogens in patients with a diagnosis of a lower UTI and analysed
41 risk factors for resistance. Patients with age <18 years, pregnancy or a pyelonephritis were excluded.

42 **Results:** 1,352 patients (mean age 53.8, 94.9% female) were included in the study. 1,210 cases (89.5%) were
43 classified as uncomplicated UTI. *E. coli* was the most frequent pathogen (74.6%). Susceptibility proportions of *E.*
44 *coli* to ciprofloxacin (88.9%) and trimethoprim-sulfamethoxazol (TMP/SMX) (85.7%) were significantly higher
45 than the proportions reported by ANRESIS. We found high susceptibility to the recommended first line antibiotics
46 nitrofurantoin (99.5%) and fosfomycin (99.4%). Increasing age, antimicrobial exposure and a recent travel history
47 were independently associated with resistance.

48 **Discussion:** In this study, we report actual data on the resistance patterns of uropathogens in primary care in
49 Switzerland. *E. coli* showed low resistance rates to the recommended first line antibiotics. Resistance to TMP/SMX
50 was significantly lower than reported by ANRESIS, making TMP/SMX a suitable and cheap alternative for the
51 empirical treatment.

52

53 **Introduction**

54 Urinary tract infections (UTI) are one of the most common infections worldwide [1] and almost every second
55 women will have at least one episode during lifetime [2]. Although UTIs may be self-limiting, UTIs are one of the
56 most common reasons for prescribing antibiotics in primary care [3]. A progress to an upper UTI /pyelonephritis
57 is rare [4], but seem to be more common without antibiotic therapy. Furthermore, antibiotic therapy results in a
58 faster symptom relief compared to placebo or anti-inflammatory therapies [5, 6]. Most guidelines do not
59 recommend a microbiological diagnosis in cases of an uncomplicated UTI (uUTI) before treatment [7, 8]. The
60 choice of the empirical antibiotic treatment depends on the expected bacteria and their antibiotic resistance
61 patterns. To date fosfomycin, nitrofurantoin, pivmecillinam and trimethoprim-sulfamethoxazol (TMP/SMX) were
62 recommended as empirical first line therapy in most guidelines. The use of TMP/SMX is limited to areas, where
63 local resistance rates are known and where they do not exceed 20% [7]. In Switzerland, the Swiss Center for
64 Antibiotic Resistances (www.anresis.ch) provides a nationwide passive surveillance [9]. However, because in
65 cases of an uUTI no microbiological diagnostic is done in most cases, passive surveillance may overestimate the
66 resistance prevalence in the community setting [10]. The aim of this study was to provide actual data of the
67 antimicrobial resistance patterns in patients with a lower UTI in primary care.

68

69 **Methods**

70 This cross-sectional study was conducted from June 2017 to August 2018 in 161 Swiss primary care practices as
71 well as in two large “walk-in” practices. The general practitioners (GP) proposed study participation to all patients
72 aged 18 and older with a clinical diagnosis of a lower UTI in consecutive order. Pregnant women and patients with
73 a pyelonephritis were excluded. No patient could be included twice in the study in case of recurrence or treatment
74 failure. Diagnostic criteria of an UTI (complicated and uncomplicated) were provided to all GPs to ensure
75 diagnostic standardization [8]. UTI was defined as the new onset of typical symptoms (dysuria, pollakiuria,
76 urgency or haematuria) and a positive urine dipstick (positive leucocytes). Uncomplicated cystitis was defined as
77 cystitis in otherwise healthy women without the history or the clinical suspicion of any functional or anatomical
78 abnormalities of the urinary tract. UTIs in male patients or in female patients with concomitant (urological)
79 disorders (according to Swiss national guidelines [8]) were considered as complicated. In case of study
80 participation and signed informed consent, a urine specimen was collected for microbiological analysis.
81 Furthermore, epidemiological and clinical data were recorded. Finally, the GP had to determine the final diagnosis
82 (uncomplicated or complicated cystitis), and whether they would have done a microbiological analysis apart of
83 the study.

84 Resistance data from ANRESIS (2018 resistance data) were acquired by using the following selection criteria: All
85 urinary *Escherichia coli* (*E. coli*) isolates from adult (age >15) outpatients (private physicians, ambulatories or
86 emergency departments), (assessed 17.06.2019) (www.anresis.ch). Comparisons of regional susceptibility patterns
87 were done according to the geographical classification of Switzerland done by ANRESIS.

88

89 Microbiological analysis:

90 Urine samples for culture were collected in a sterile container containing boric acid as a preservative. The urine
91 was plated onto a chromogenic and blood agar medium and an inhibition test for detection of a possible antibiotic
92 pretreatment was performed. After 24/48h incubation time, positive culture was defined as growth of 10³ colony
93 forming units or more. Bacteria were subjected to an identification procedure by mass spectrometry (MALDI TOF)
94 and to an automated antimicrobial susceptibility testing (Vitek 2). Intermediate resistance was handled as resistant
95 for resistance analysis.

96 Ethics:

The study was approved by the local ethics committee (BASEC Number: 2016-01918) and every patient signed a study specific informed consent.

Analysis:

Summary statistics were reported as means (standard deviation, SD), and number (percentage, %) as appropriate. Patient characteristics and microbiological results were compared between cUTI and uUTI; Independent sample Student's t test was used for continuous variables and chi-square or Fisher's test, as appropriate, were used for categorical variables. Antibiotic susceptible rates of *E. coli* were compared to the rates provided by Swiss passive surveillance using the Chi squared test with simulated p-values, computed by a Monte Carlo test with 2000 replicates. The 95% confidence interval (CI) for the susceptible proportions were reported, too. Resistance to Fluoroquinolones (FC) means resistance to at least one out of ciprofloxacin, levofloxacin, moxifloxacin, or norfloxacin. Univariable and multivariable logistic regression models were performed to identify the association between patient characteristics, together with type of UTI, and antibiotics resistance rate of *E.coli*. Only women infected with *E. coli* were considered in the regression analysis. The study's exploratory nature required non-parsimonious multivariable regression models to identify variables for further exploration in future studies. These models were performed using automatic stepwise selection estimation with likelihood ratio testing (P-value ≤ 0.20) specified as the test of significance to include or exclude variables. For all other tests, $P \leq 0.05$ was considered statistically significant. As sensitivity analysis, we estimated the intracluster correlation coefficient (ICC), using a mixed regression model with a random effect at GP level. All analyses were carried out using statistical package R, R Core Team (2016). (R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. URL <https://www.R-project.org/>).

Results

1,454 patients were screened for study participation. 33 patients refused to participate. In total 1,421 urine samples were collected (**Figure 1**). 69 patients were excluded due to missing leukocyturia (46), age < 18 (10), absence of classical symptoms (9), pregnancy (2), or other reasons (2). Finally, 1,352 urine samples were included in the overall analysis. Basic demographic and clinical information are shown in **Table 1**. 1,210 cases (89.5%) were reported as uUTI and 129 as cUTI (9.5%). Thirteen cases (1%) resulted unclassified, hence these cases were excluded for comparison analysis, but still included in the overall analysis. 94.9% of the participants were female. The overall mean age was 53.75 (standard deviation: 20.8) years.

127

128 **Microbiological analysis**

129 Urine cultures were positive in 87.1% (1,117 cases) (**Table 2**). In 143 cases (12.1%) two pathogens were detected
130 and 140 cases (11.9%) were considered as contaminated due to growth of 3 or more pathogens and were excluded
131 from further analysis. Gram-negative rods were present in 86.8%, enterococci in 6.9% and other gram-positive
132 bacteria in 19.4 % of all cases. However, growth of only gram-negative rods, enterococci or other gram-positive
133 bacteria in a culture was detected in 72.7%, 3.2% and 8.6%, respectively. *E. coli* was the most common pathogen
134 and was found in 74.6% of all cases. Comparing the causing uropathogens in the uUTI and cUTI, there were no
135 significant differences.

136 **Resistance proportions**

137 Resistance proportions were reported for *E. coli* (additional resistance proportions for *Klebsiella spp.*,
138 *Enterobacter spp.* and *Proteus spp.* were provided in **Supplemental Table 4**). *E. coli* showed high susceptibility
139 rates to the recommended first line antibiotics fosfomycin (99.35%, 95% confidence interval [CI]: 99.34%-
140 99.37%), nitrofurantoin (99.48%, 95% CI: 99.47%-99.5%), and TMP/SMX (85.66%, 95% CI: 85.58%-85.74%).
141 With exception of the Geneva area, resistance proportions to TMP/SMX were below 20% in all areas of
142 Switzerland. Although not statistically significant, we observed the lowest susceptibility proportions against
143 TMP/SMX in the French-speaking western areas of Switzerland. 88.89% (95% CI: 88.82%-88.96%) of the isolates
144 were susceptible to ciprofloxacin (**Table 3**) and 85.40% (95% CI: 85.32%-85.48%) of the isolates were susceptible
145 to norfloxacin. In comparison to the data provided by ANRESIS (passive surveillance), we found significantly
146 higher susceptibility proportions of *E. coli* to both TMP/SMX and ciprofloxacin ($p < 0.001$ in both groups). There
147 were no significant differences in the susceptibility to fosfomycin and nitrofurantoin ($p=0.14$, and $p= 0.543$,
148 respectively) between active and passive surveillance. With the exception of nitrofurantoin ($p=0.011$), susceptible
149 proportions to the antibiotics did not differ significantly between the different regions across Switzerland in our
150 study. Nitrofurantoin susceptibility was reduced in the south region of Switzerland (susceptibility rate 90.9%),
151 compared to the other regions (susceptibility rates $>97.3\%$). However, this finding could be biased due to the very
152 limited specimen numbers in the south region ($n=11$).

153 Comparing the susceptibility proportions of *E. coli* in uUTI and cUTI, we found no significant differences
154 (**Supplemental Table 1**). Furthermore, there was no significant difference in the susceptibility proportion to
155 TMP/SMX in patients in which the GP would have done a urine analysis apart from the study, compared to the

patients without a urine analysis. In contrast, susceptibility to ciprofloxacin was significantly lower in patients in which the GP would have done a urine analysis apart from the study (**Supplemental Table 2**).

Risk factors for *E. coli* resistance

Univariate and multivariate logistic regression analysis for identifying variables as risk factors for antibiotic resistance to the recommended first line antibiotics (TMP/SMX, nitrofurantoin, and fosfomycin) or to FC in women infected with *E. coli* are shown in **Table 4**. Additional analysis for each antibiotic in separate were provided in **Supplemental Table 3**. Clustering by GP practice was negligible, with ICC 0.012 for resistance to first-line antibiotics.

Analysing risk factors for resistance to any recommended first line antimicrobial agent, antibiotic exposure for any reason within the last 3 months and a recent travel to Africa were associated with an increased risk of resistance, which is also true for resistance to TMP/SMX alone. Compared to antimicrobial exposure for any reason, antimicrobial exposure specific for an UTI was not associated with an increased resistance. Age, a recent hospital stay, and a travel history to Oceania were associated with increased risk of resistance to fosfomycin, whereas no risk factors could be identified for resistance to nitrofurantoin. Resistance to FC was associated with age, living in long-term care, antimicrobial exposure and traveling within Europe and Asia.

Discussion

In this study, we determined the frequency and susceptibility proportions of uropathogens in urinary tract infections in primary care in Switzerland. We found high susceptibility proportions of *E. coli* to the recommended first line antibiotics and higher susceptibility proportions for TMP/SMX and ciprofloxacin as reported by the Swiss national passive surveillance.

E. coli is the most frequent pathogen in both uncomplicated and complicated UTI [11] and accounts for up to 95% of urinary tract infections [7] as also seen in our study. Knowledge of local resistance patterns of *E. coli* is therefore crucial for the consideration of an adequate empirical treatment. In our cohort, clinical significant growth, after excluding contaminated samples, could be detected in 76%, which is similar to other studies [12, 10]. *E. coli* was the most frequent pathogen and showed high susceptibility proportions to the recommended first line antibiotics fosfomycin, nitrofurantoin, and TMP/SMX. Current guidelines recommend the use of TMP/SMX only if local susceptibility proportions exceed 80%. With exception of the Geneva area, this premise is fulfilled in all geographic areas of Switzerland. Although not statistically significant, we observed a trend for higher resistance proportions against TMP/SMX in the French-speaking western areas of Switzerland. This could be explained by

the fact that the highest antibiotic prescription rates are also seen the French-speaking parts of Switzerland [13, 14] and an association between resistance rates and antibiotic prescription is frequently reported in the literature [15, 14].

Compared to the Swiss national passive surveillance, susceptibility proportions to TMP/SMX and ciprofloxacin were significantly higher in our cohort. This reflects the selection bias in passive surveillance systems, as microbiological analysis is not recommended in most outpatients with a uUTI and higher resistance rates are seen in patients with a cUTI [16]. Susceptibility proportions of nitrofurantoin and fosfomycin did not differ significantly from the reported proportions by ANRESIS. This is due to overall high susceptibility proportions (>98%) in both our cohort and ANRESIS.

10 years ago, a similar study investigated the resistance proportions in around 1,000 outpatient UTI cases in the canton of Berne in Switzerland [10]. The reported susceptibility proportions of *E. coli* to TMP/SMX (71% to 80%) were lower than the susceptibility proportions in our study. The same is true for nitrofurantoin, with higher susceptibility proportions in our study. Resistance proportions to fosfomycin were similar in both studies. It seems that at least in the outpatient setting there is no deterioration of resistance patterns against first line antimicrobial agents within the last decade, which is remarkable as the frequency of resistant uropathogens is steadily increasing [17, 16]. On the other hand, susceptibility to norfloxacin (the only reported FC), was reported higher compared to our study.

It is generally considered, that susceptibility rates of uropathogens in uUTI are higher compared to cUTI [7, 12, 18-21] and that *E.coli* is the most common uropathogen in both conditions, despite the wider microbiological spectrum in cUTI [22]. As expected in our study *E. coli* was the most frequent pathogen in both uUTI and cUTI (74.8% and 72.3%, respectively), but susceptibility proportions did not differ significantly between both groups. These findings could be explained by two reasons: First, the numbers of cUTI were relative low (<10%). Second, in contrast to the well-known and accepted definition of uUTI, the definition for cUTI is more heterogeneous. There is evidence, that for example in young men a UTI can be uncomplicated [23]. The microbiological patterns of causing pathogens in the different aetiologies of cUTI are unknown. Our findings, that there can be similarities in the resistance patterns and in the causing pathogens in both groups, support the need for a more detailed stratification and treatment recommendations of cUTI [11, 23] (at least for ambulatory care). National guidelines recommend a microbiological culture and the use of antibiotics with a good prostate penetration in UTI in men [8]. Both TMP/SMX and FC have an excellent prostate penetration. Due to the similar resistance rates in uUTI and cUTI in our cohort, empirical therapy with TMP/SMX seems to be feasible also in men until resistance analysis

is available. According to pharmacological data also fosfomycin has an good penetration into the prostate [24], but today fosfomycin is not routinely used in the treatment of an prostatitis [22]. In contrast, nitrofurantoin does not penetrate into prostatic tissue adequately [25].

Multivariable regression analysis revealed age, prior antibiotic exposure and a recent travel history as risk factors for antibiotic resistance. These findings are consistent with the known risk factors reported in the literature [26-29] and these factors need to be considered in the choice of the empiric treatment.

Strengths/limitations: In this prospective trial, we sampled urine specimen across all regions in Switzerland and all specimen were analysed in one central laboratory. The overall numbers of patients, which refused to participate and the exclusions were low (Figure 1), indicating a low risk of selection bias, assuming the data are representative for primary care in Switzerland; nevertheless certain subgroup analysis have to be interpreted with caution due to small sample sizes (e.g. comparisons across regions, multivariable modelling) and limited data (reason for classification uUTI vs. cUTI).

Implications for Swiss primary care

Current national and international guidelines recommend fosfomycin or nitrofurantoin for the empirical treatment of uUTI. Depending on the local resistance rate, TMP/SMX is an additional first line antimicrobial agent. In our cohort, we could report resistance proportions below 20% to TMP/SMX in nearly all areas of Switzerland. Thus, TMP/SMX remains a suitable antibiotic for the empirical treatment of uUTI and even cUTI in primary care in Switzerland, especially as the treatment costs of the standard regime TMP/SMX are similar to that of nitrofurantoin, but three times cheaper compared to fosfomycin. However, in patients with a systemic antibiotic exposure within the last three months or a travel history to Africa, clinicians should prefer a therapy with nitrofurantoin or fosfomycin. Of note, a recent multicentric study showed superiority of nitrofurantoin over fosfomycin [30].

In our cohort *E. coli* isolates showed high susceptibility proportions to FC. FC are highly effective in the treatment of UTIs and were recommended for the empirical therapy for many years. Despite UTIs, FC are important in the treatment in extra- urogenital infections like intra-abdominal infections, soft tissue, and bone and joint infections. Due to excessive use, increasing resistance rates not only in uropathogens were observed [7]. Increasing FC resistance rates are a serious public health treat [16], in addition to the general potential side effects like ecological damage to gut flora or tendinopathies. Therefore, we support the recommendations of current guidelines to avoid FC use in the empirical therapy in UTI [7, 8] despite the low resistance proportions.

244

245 In conclusion, we could show low resistance patterns to the recommended first line antibiotics fosfomycin,
246 nitrofurantoin and TMP/SMX in both uncomplicated and complicated UTI in primary care in Switzerland.

247

248 **Conflict of interest:** All authors declare no conflict of interest.

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